

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Tai Ji, et al.

Application No.: 10/736,892

Filed: December 17, 2003



Customer Number: 20277

Confirmation Number: 5602

Group Art Unit: 1645

Examiner: Not yet assigned

For: GENES AND AGENTS TO REGULATE FOLLICULAR DEVELOPMENT, OVULATION
CYCLE AND STERIOGENESIS

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop Filing Receipt
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Official Filing Receipt is incorrect. Attached is a copy of the Assignment and Transmittal, filed on March 10, 2005 with stamped return postcard, which evidences that the — *Assignment for Published Patent Application: University of Kentucky Research Foundation, Lexington, KY*—should appear on the official filing receipt. It is requested that a corrected filing receipt be issued.

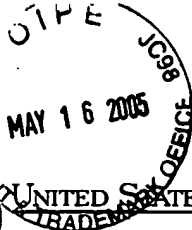
Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Judith L. Toffenetti
Registration No. 39,048

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 JLT:jgh
Facsimile: 202.756.8087
Date: May 15, 2005

Please recognize our Customer No. 20277
as our correspondence address.

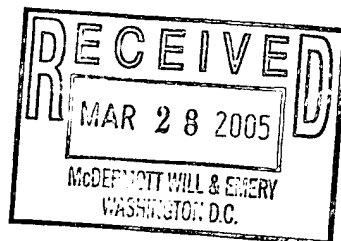


UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/736,892 | 12/17/2003 | 1645 | 1060 | 50229-424 | 9 | 13 | 9 |

20277
 McDERMOTT WILL & EMERY LLP
 600 13TH STREET, N.W.
 WASHINGTON, DC 20005-3096



CONFIRMATION NO. 5602

UPDATED FILING RECEIPT



OC000000015574437

Date Mailed: 03/25/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Tae H. Ji, Lexington, KY;
 Inhae Ji, Lexington, KY;

Assignment for Published Patent Application
University of Kentucky Research Foundation, Lexington KY
Power of Attorney: The patent practitioners associated with Customer Number 20277.

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/437,729 01/03/2003

Foreign Applications

If Required, Foreign Filing License Granted: 01/10/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/736,892**

Projected Publication Date: 07/07/2005

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

✓ Genes and agents to regulate follicular development, ovulation cycle and steroidogenesis

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

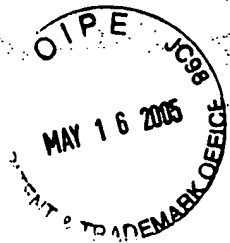
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



BEST AVAILABLE COPY

Applicant: Tai, JI, et al. Docket No. 50229-424

Title: GENES AND AGENTS TO REGULATE FOLLICULAR DEVELOPMENT, OVULATION CYCLE AND STERIODOGENESIS

Date Sent: 3/10/2005 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ First Class Mail ☐ Express Mail No. 10736,892

☒ Transmittal Letter ☐ New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☐ PCT ☐ RCE ☐ Prov

☐ Other: _____

☒ Small Entity ☐ Large Entity

☐ Declaration/Power of Attorney

☒ Recordation of Assignment/Security Agreement

☐ Information Disclosure Statement

Form PTO 1449

☐ Preliminary Amendment

☐ Response to Missing Parts Notice

☐ Resp. to Notice to Correct App. Papers

☐ Certified Copy of Priority Doc.

☐ Claim for Convention Priority

☐ Response/Amendment to Office Action of _____

☐ Request for _____ month Extension of Time

pages of Specification _____

pages of Claims _____

pages of Abstract _____

pages of Formal/Informal Drawings _____

☐ Letter submitting _____ pages of drawings

☐ Req. for Approval of Drawing Amendments

☐ Req. for Oral Hearing

☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ Rule 312 Amendment/Letter

☐ Req. for Acknowledgement of Cited Art

☐ Issue Fee

☐ Publication Fee

☐ Req. for Certificate of Correction

☐ Maintenance Fee for _____ years after grant

☐ Fee Address Indication Form

☐ Terminal Disclaimer

☐ Petition to Commissioner

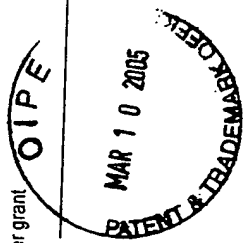
☐ Status Inquiry

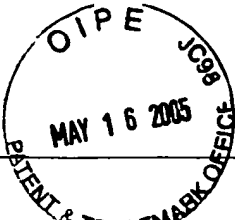
☐ Other _____

| | | | | | | | | |
|--------------|---|----------|------------|-----|---------|------|--------------|-------------|
| Check for \$ | <input checked="" type="checkbox"/> Charge Deposit Acct. 500417 | \$ 40.00 | Atty Init. | KNW | Tkpr. # | 6546 | Secy. or PL: | A Humphries |
|--------------|---|----------|------------|-----|---------|------|--------------|-------------|

CMS Descr.: 4-40.00

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.





FORM PTO-1595
(Rev. 10/02)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 50229-424

PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

Tae H. JI, Inhae JI

2. Name and address of receiving party(ies):

Name: UNIVERSITY OF KENTUCKY RESEARCH
FOUNDATION

Address: A144 ASTeCC Building
University of Kentucky
Lexington, KY 40506-0286

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 14, 2005

Additional name(s) & address(es) attached? ☐ Yes ☒ No *3/10/05*

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

10/736,892, filed December 17, 2003

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No *3/10/05*

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D. C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kelli N. Watson, 47,170

Kelli N. Watson
Signature

March 10, 2005

Name and Registration No. of Person Signing

Date

Total number of pages including cover sheet: 3

OMB No. 0651-0027 (exp. 6/30/2005)

ASSIGNMENT

WHEREAS WE, Taell and Inhae both of 2340 Old Hickory Lane, Lexington, KY 40515, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled GENES AND AGENTS TO REGULATE FOLLICULAR DEVELOPMENT, OVULATION CYCLE AND STERIODOGENESIS, for which an application for United States Letters Patent was filed on December 17, 2003, and identified by United States Application No. 10/736,892;

AND WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation of the Commonwealth of Kentucky and having an address of A144 ASTeCC Building, University of Kentucky, Lexington, KY 40506-0286 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Feb 14, 2005
Date
2/14/2005
Date

Tae H. Ji
Tae H. Ji
Inhae Ji
Inhae Ji

STATE OF Kentucky)
COUNTY OF Payette) SS:

On this 14th day of February, 2005, before me personally appeared Tae H. Ji, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.



Notary Public

My commission expires

Nancy J. Stafford
March 4, 2008

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, 20____, before me personally appeared Inhae Ji, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public

My commission expires